



Planning, monitoring and performance clearance of FIs under CAP Strategic Plans

Szilvia Bencze, Policy Officer, Unit F3, DG AGRI,
European Commission

 #ficompass



Financial planning of financial instruments

- Art.74(5) CAP SPR: Eligible expenditure of a financial instrument is the payment to final recipients, or guarantees set aside for loans (and management cost and fees)

| Intervention with grant and FI form of support | 2023 | 2024 | 2025 | 2026 | 2027 | 2028 | 2029 | 2023-2029 |
|--|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Grant average unit amount in € | 50 000 | 50 000 | 50 000 | 50 000 | 50 000 | 50 000 | 50 000 | 50 000 |
| FI average unit amount in € | 25.000 | 25.000 | 25.000 | 25.000 | 25.000 | 25.000 | 25.000 | 25.000 |
| Annual planned output (O.22) | 100 | 1000 | 2000 | 2000 | 2000 | 2000 | 2000 | 11 100 |
| <i>Out of which FIs (optional)</i> | <i>0</i> | <i>200</i> | <i>500</i> | <i>1000</i> | <i>1000</i> | <i>1000</i> | <i>1000</i> | <i>4700</i> |
| Total indicative financial allocation | 10 000 000 | 20 000 000 | 50 000 000 | 90 000 000 | 100 000 000 | 70 000 000 | 100 000 000 | 437 500 000 |
| Out of which FIs | 50 000 | 5 500 000 | 15 000 000 | 25 000 000 | 25 000 000 | 25 000 000 | 21 950 000 | 117 500 000 |

Average unit amounts defined on the level of the final recipients:

$$\frac{\text{Total planned public expenditure for the FI}}{\text{Total planned number of outputs for the FI}}$$

Number of financed projects

Reporting on financial instruments under the CAP Strategic Plans

General principles:

- Outputs and expenditure are to be reported on the level of final recipients and their financed projects
- No double counting, where relevant: data on final recipient (farmer, SME etc.) and its financed project is reported by the FI (Fund manager) to the Paying Agency
 - Paying Agency ensures that the same farmer/SME (etc.) or the same project (investment or installation), receiving both grant and FI support, is not counted twice in the monitoring exercise → **unique farm/company and project identification**

Reporting on financial instruments under the CAP Strategic Plans

| Type of intervention | Output Indicator* |
|--|--|
| | O.3 Number of CAP support beneficiaries |
| Risk management (Art. 70) | O.9 Number of units covered by supported CAP risk management tools |
| Investments (Art. 68, 68a) | O.20 Nr of supported on-farm productive investments O.22 Nr of supported infrastructure investment operations O.24 Nr of supported off-farm productive investments |
| Installation support (Art. 69) | O.25 Nr of young farmers receiving installation support O.26 Nr of new farmers receiving installation support O.27 Nr of rural businesses receiving support for start up |
| Cooperation (Art. 71) | O.31 Nr of supported local development strategies (LEADER) or preparatory actions O.32 Nr of supported other cooperation operations or units (excl. EIP) |
| Knowledge exchange and information (Art. 72) | O.33 Number of supported training, advice and awareness actions or units |

Also on the level of the final recipient projects!

Reporting on financial instruments under the CAP Strategic Plans

| Result Indicator* | Scope of data |
|-------------------|---|
| R.1 | Enhancing performance through knowledge and innovation - Number of persons receiving support for advice, training, knowledge exchange |
| R.9 | Farm modernisation - Share of farms receiving investment support to restructure and modernise, including to improve resource efficiency |
| R.15 | Renewable energy from agriculture and forestry - Investments in renewable energy production capacity (MW) Self-declaration (estimation provided) by applicant |
| R16 | Investments related to climate – nr of farms |
| R.18 | Investment support to the forest sector - Total investment Total project cost (incl. loan, grant, own contribution) |



Reporting on financial instruments under the CAP Strategic Plans



| Result Indicator* | Scope of data |
|-------------------|--|
| R.26 | Investments related to natural resources – nr of farms |
| R.27 | Environment-/climate-related performance through investment in rural areas – nr of projects of non-farms |
| R.36 | Generational renewal - Number of young farmers setting up with support from the CAP |
| R.37 | Growth and jobs in rural areas - New jobs in supported projects |
| R.39 | Developing the rural bioeconomy - Number of bio-economy businesses (non-farmers) developed with support |
| R.41 | Connecting rural Europe - Share of rural population benefitting from improved access to services and infrastructure through CAP support |

Reporting on financial instruments under the CAP Strategic Plans

Additional information (Annex to APR) on FI implementation

- CAP SPR Art. 121(6)

- ✓ eligible expenditure by type of financial product;
- ✓ amount of management costs and fees declared as eligible expenditure;
- ✓ amount per financial product, of private and public resources mobilised in addition to the EAFRD;
- ✓ interest and other gains generated by support from the EAFRD contribution to FIs referred to in Art. 60 of the CPR and resources returned attributable to support from the EAFRD as referred to in Art. 62 of the CPR.
- ✓ total value of loans to final recipients which were guaranteed with CAP Plan resources



Performance clearance of financial instruments under the CAP Strategic Plans

| | Projects | | Total project approved (public expenditure committed) | APR 2024 Paid to final recipient | APR 2025 Paid to final recipient | APR 2026 Paid to final recipient |
|-------------------------------------|----------------------------------|----------------------------|---|--|--|--|
| Data from Fund manager | Project 1 | Eligible expenditure (EUR) | 1000 | 1000 | 0 | 0 |
| | | Output | 1 | 1 | 0 | 0 |
| | Project 2 | Eligible expenditure (EUR) | 1200 | 600 | 600 | 0 |
| | | Output | 1 | 0.5 | 0.5 | 0 |
| | Project 3 | Eligible expenditure (EUR) | 800 | 600 | 0 | 200 |
| | | Output | 1 | 0.75 | 0 | 0.25 |
| Information to be sent to EC | Total Output (no of investments) | | 3 | 2.25 | 0.5 | 0.25 |
| | Total expenditure (EUR) | | 3000 | 2200 | 600 | 200 |
| | Realized unit amounts | | 1000 | 978 | 1200 | 800 |



- ✓ On the level of the final recipients, including management costs and fees and combined grant elements
- ✓ Amounts paid as eligible expenditure and declared to EC in the financial year



Thank you!

www.fi-compass.eu

Follow us:



© European Union 2021

Unless otherwise noted the reuse of this presentation is authorised under the [CC BY 4.0](https://creativecommons.org/licenses/by/4.0/) license. For any use or reproduction of elements that are not owned by the EU, permission may need to be sought directly from the respective right holders.



fi-compass is provided by the European Commission in partnership with the European Investment Bank
Copyright © European Investment Bank 2014-2021
events@fi-compass.eu | www.fi-compass.eu

